

SHELTER INSPECTION REPORT - AI-SIRRating: **Non-Compliant Critical - 7**Purpose: **Inspection**DATE/TOA: **7/27/26 2:00 pm**

License No.:

Expiration Date:

**HERKIMER COUNTY HUMANE SOCIETY
514 STATE ROUTE 55
MOHAWK NY 13407**Inspector #: **81**Inspector #: **58**

These are the findings of an inspection of your facility on the date(s) indicated above:

Agriculture & Markets Law - Article 26C - Regulation of Animal Shelters**A. General Facility Standards****1. Facility Article 26 C, §424.1**

Critical Deficiency

*Kennel fencing in outdoor enclosure is in disrepair and may pose a safety hazard.**Article 26 C, §424.1**All facilities and all interior components of each animal shelter shall be constructed of materials that ensure a sound physical structure, and shall be maintained so as to protect animals from injury, ensure containment of animals within the property, and restrict unauthorized entry of other animals and humans to the building.***2. Surfaces Article 26 C, §424.2**

General Deficiency

*Surfaces of floors and walls of the facility where animals come in contact are not impervious or are in need of minor repair.**Article 26 C, §424.2**Indoor building surfaces in direct contact with animals shall be constructed of materials that are non-porous, water resistant, non-toxic and able to withstand regular cleaning and disinfection. Adequate drainage shall prevent the accumulation of water or other liquids on floors***3. Power/Water Article 26 C, §424.3**

General Deficiency

*No written emergency plan for power or water outage.**Article 26 C, §424.3**Electrical power and running water shall be maintained to all parts of the facility that house animals, with written emergency plans for back-up sources or relocation of the animals to a safe environment in the event of outages lasting more than four hours.***4. Sinks Article 26 C, §424.4**

Satisfactory

5. Temperature Article 26 C, §424.5, 6

Satisfactory

6. HVAC Maintenance Article 26 C, §424.7

General Deficiency

*HVAC maintenance not documented.**Article 26 C, §424.7**Each animal shelter shall document regular maintenance of air handling systems according to the manufacturer's recommendations.***7. Ammonia Article 26 C, §424.8**

Satisfactory

8. Isolation Article 26 C, §424.9; 425.8

Critical Deficiency

*Healthy animals housed with sick animals.**Designated isolation area unavailable.**Article 26 C, §424.9**Each animal shelter shall provide separate housing areas, segregated by species and from uninfected, unexposed animals, for the housing of any animal with an infectious disease that may be transmitted within the shelter environment and requiring isolation as determined by a licensed veterinarian. Doors separating such rooms from the remainder of the facility shall be kept closed.***9. Sound Article 26 C, §424.10**

General Deficiency

*Sound levels not documented.**Article 26 C, §424.10**Each animal shelter must use a decibel-meter at a minimum of once weekly to measure the level of sound in the kennels during cleaning and resting times.***10. Lighting Article 26 C, §424.11**

Satisfactory

11. Drainage and Waste Article 26 C, §424.2; 425.3, 16

Satisfactory

12. Pest Control Article 26 C, §424.12

Critical Deficiency

*Open treats not stored in rodent proof containers.**Article 26 C, §424.12**Each animal shelter shall establish and maintain a written plan for pest and vermin control including the following:*

- (a) Method of controlling rodent infestation that is effective and safe for both humans, and animals housed in the facility;*
- (b) A record or copies of service reports from outside service providers related to pest and vermin control that documents dates, methods, locations, and outcomes, if applicable; and*
- (c) Food stored in rodent proof containers to prevent spoilage, contamination, and infestation once opened, if packaging has been damaged, or as otherwise required by such plan.*

13. Drop Box Article 26 C, §424.13

Satisfactory

B. Animal Housing**1. Primary Enclosure Article 26 C, §425.1, 3, 10, 12; 424.2**

General Deficiency

*Number of animals cohoused exceeds allowance.**Article 26 C, §425.12**Regardless of the size of the primary enclosure, the number of animals cohoused in a primary enclosure at one time shall not exceed the following thresholds: (a) twelve adult cats; (b) two litters of kittens not to exceed ten kittens total; (c) five adult dogs; or (d) one litter of puppies.***2. Group Housing Article 26 C, §425.5, 6, 7, 8, 9, 11, 13, 14**

General Deficiency

*Unable to identify animals housed**Article 26 C, §425.7(d)**(d) the animal has a collar or tag to facilitate visual identification, unless the animal's age or condition is such that application of visual identification is not practicable or would be detrimental to the animal's health .***3. Whelping Box Article 26 C, §425.8 (b)**

Not Applicable

4. Outdoor Housing Article 26 C, §425.2, 15, 16

Not Applicable

5. Identification Article 26 C, §431.2 (d), (v)

General Deficiency

*Animal identification unavailable.**Article 26 C, §431**Each enclosure shall clearly indicate the identities of all animals contained within, specifying each animal's unique identifier as required under section four hundred twenty-three of this article. Each animal shall also be individually identified.*

C. Sanitation**1. Sanitation Article 26 C, §426.1, 2, 3, 4, 5, 6, 7, 8, 10, 11; 428.1 (e)**

General Deficiency

*Daily cleaning and once weekly disinfection of enclosures not documented.**Dedicated equipment for cleaning and disinfection for separate housing areas unavailable.**Article 26 C, §426.3, 6.**3. Dedicated equipment for cleaning and disinfection for use in each separate area of the shelter designated as cat or dog isolation, holding, adoptions or other functionally separate areas within the facility,**6. Daily cleaning and at least once weekly disinfection of enclosures that house the same animal or animals during a long-term stay.***2. Outdoor Enclosures Article 26 C, §426.9**

Satisfactory

D. Shelter Management and Animal Husbandry**1. Number Housed Article 26 C, §425.12; 427.2,3**

General Deficiency

*Number of animals co-housed exceeds threshold.**Article 26 C, §425.12**Regardless of the size of the primary enclosure, the number of animals co-housed in a primary enclosure at one time shall not exceed the following thresholds: (a) twelve adult cats; (b) two litters of kittens not to exceed ten kittens total; (c) five adult dogs; or (d) one litter of puppies.***2. Observation Article 26 C, §428.1; 427.4**

General Deficiency

*Animal observations at feeding times not documented.**Article 26 C, §428.1(f)**Each animal shall be observed during or following each feeding time to ensure daily food intake, or at least once every twenty-four hours for animals continually offered food. Such observations shall be recorded.***3. Food and Water Article 26 C, §428.1**

Satisfactory

4. Restraint Article 26 C, §428.2 (a), (b), (c)

Satisfactory

E. Behavior**1. Routine Care and Handling Article 26 C, §430.1, 2, 3**

Satisfactory

2. Exercise and Enrichment Article 26 C, §430.4 (a), (b), (c), 5

General Deficiency

*Exercise and/or enrichment not documented.**Article 26 C, §430.3.4.5 (a), (b), (c), 5**3. At least twenty minutes of positive social interactions, in addition to time spent providing feeding, cleaning, basic husbandry or care with people shall be provided by each animal shelter on a daily basis for any animal in such shelter's custody or possession except those so poorly socialized to humans that such contact would be unpleasant, stressful or pose a safety risk to other animals or humans. Daily handling and positive exposure to people and other animals shall be provided for any dog or cat less than four months of age, while taking appropriate precautions to limit infectious disease. Positive social interactions shall include but not be limited to petting an animal, walking, reward based training or interactive play with toys. Time spent providing basic husbandry and animal care shall not be used to offset the social interaction time requirements of this subdivision.**4. Each animal shelter shall provide each animal in its custody or possession opportunity for exercise and exploration in the following manner: (a) all cats housed in a cage, kennel, or room that provides less than eighteen square feet of floor space per cat for fourteen days or longer shall be permitted at least fifteen minutes per day at least five days per week outside of their primary enclosure to exercise and explore; (b) all dogs residing in the shelter for fourteen days or longer shall be permitted time outside of their primary enclosure to exercise and explore for at least twenty minutes per day five days per week; or (c) in the event such activities are not possible due to any animal's health, behavior, order of the commissioner or legal restriction, the condition and reason shall be documented in such animal's medical record.**5. Each animal shelter shall implement additional measures for enrichment and stress reduction for any animal in its custody or possession showing persistent hiding, hostile interactions with other animals, reduced activity, depression or social withdrawal, barrier frustration or aggression, self-injurious behavior, repetitive non goal-oriented movement, or stereotypic behaviors. Such measures shall be documented in such animal's medical record created pursuant to section four hundred twenty-three of this article.***3. Behavior Evaluations Article 26 C, §430.6, 7 (a), (b)**

Satisfactory

F. Transportation

1. Vehicle Article 26 C, §431.1 a-f	Not Applicable
2. Vaccinations Article 26 C, §431.2 (d), (i-vi1)	Not Applicable
3. Health Certificates Article 26 C, §431.2 (d), (iv) 3	Not Applicable
4. Visual Identification Article 26 C, §431.2 (d), (v)	Not Applicable
5. Destination Shelter Contact Article 26 C, §431.2	Not Applicable
6. Animal <1 year not from USDA Breeder Article 26 C, §431.5	Satisfactory
7. Mandatory Holding Period Article 26, §384.1; 384.2	Not Applicable

G. Record Keeping and Protocols**1. License Status Article 26 C, §421.1**

Satisfactory

2. License Displayed Article 26 C, §421.4

Satisfactory

3. Required Training Article 26 C, §422

General Deficiency

Training not completed and/or documented within 60 days of educational visit.

Article 26 C, §422

1. Each animal shelter licensed pursuant to this article shall provide training to all staff members and volunteers having direct animal care responsibilities. Such training shall be provided within the first sixty days of employment and at least annually thereafter and shall be in addition to any and all training otherwise required by federal, state, or local law or regulation. 2. Acceptable training modalities shall include, but not be limited to, online webinars, on-site lectures or seminars, off-site conferences for animal shelter staff, or other formal training modalities as authorized by the commissioner. 3. Training topics shall include, but not be limited to, the following: (a) humane handling techniques; (b) infectious diseases commonly found in animal shelters; (c) zoonotic diseases; (d) animal cruelty; (e) sanitation procedures; (f) body language and normal behaviors for all species regularly handled; and (g) required documentation and data entry

4. Unique Animal Identifiers Recorded Article 26 C, §423.1,2

General Deficiency

Unique identifiers undocumented.

Intake paperwork unavailable for inspection.

Article 26 C, §423.1

Each animal shelter shall examine an animal upon intake for unique identifiers and any other form of identification that may allow for reunification with an owner, as prescribed in sections one hundred seventeen and three hundred eighty-two of this chapter.

5. Record Requirement Article 26 C, §423

General Deficiency

Intake, departure and treatment records unavailable.

Article 26 C, §423.2

2. Each animal shelter shall create and maintain a record for each animal in their custody or possession which shall minimally include: (a) Unique identifiers and any other identification associated with the animal upon examination at entry, including but not limited to a tattoo, a permanent official identification number as prescribed in section one hundred twelve of this chapter or other identification tags, rabies tags and numbers, or a microchip number, if present; (b) The name, address and telephone number of the person surrendering an animal or from whom an animal is seized, and additional contact information as the commissioner may require, or the address or cross-streets and city, town, or village where the animal was located or found prior to intake, if known; (c) The date of intake into and departure from the animal shelter; (d) Whether the animal was adopted, transferred, redeemed by its owner, died or was humanely euthanized, and, if applicable, the name, address, and phone number of the receiving individual or agency; (e) Basic descriptors including species, age, gender, physical description including color, and the spay or neuter status at entry if determinable with reasonable certainty; (f) Any available behavioral or health history or information otherwise obtained at intake, including bite history and rabies vaccination status, when known; and (g) All veterinary and behavioral examinations, treatments, procedures, or medications occurring during the animal's time under the care of the shelter.

6. Record Retention Article 26 C, §423.3

Satisfactory

7. Bite History Notification Article 26 C, §423.4

Satisfactory

8. Animal Intake Article 26 C, §423.1,2

General Deficiency

*Intake documents unavailable.**Article 26 C, §423.1,2*

1. Each animal shelter shall examine an animal upon intake for unique identifiers and any other form of identification that may allow for reunification with an owner as prescribed in sections 117 and 382 of this chapter.

2. Each animal shelter shall create and maintain a record for each animal in their custody or possession which shall minimally include: (a) Unique identifiers and any other identification associated with the animal upon examination at entry, including but not limited to a tattoo, a permanent official identification number as prescribed in section one hundred twelve of this chapter or other identification tags, rabies tags and numbers, or a microchip number, if present; (b) The name, address and telephone number of the person surrendering an animal or from whom an animal is seized, and additional contact information as the commissioner may require, or the address or cross-streets and city, town, or village where the animal was located or found prior to intake, if known; (c) The date of intake into and departure from the animal shelter; (d) Whether the animal was adopted, transferred, redeemed by its owner, died or was humanely euthanized, and, if applicable, the name, address, and phone number of the receiving individual or agency; (e) Basic descriptors including species, age, gender, physical description including color, and the spay or neuter status at entry if determinable with reasonable certainty; (f) Any available behavioral or health history or information otherwise obtained at intake, including bite history and rabies vaccination status, when known; and (g) All veterinary and behavioral examinations, treatments, procedures, or medications occurring during the animal's time under the care of the shelter.

9. In House Protocols Article 26 C, §423.8

General Deficiency

*No written protocols for: Behavioral Assessment and Management of Bite/Scratch Cases.**Article 26 C, §423.8,10*

8. Each animal shelter shall develop and maintain written protocols sufficiently detailed to achieve and maintain the standards prescribed in this section. These shall include, but not be limited to, the following: (a) animal handling; (b) behavioral assessment; (c) enrichment and stress reduction; (d) management of bite/scratch cases; and (e) sanitation.

10. Protocols established by each animal shelter pursuant to this section shall be reviewed annually and updated as necessary by designated administrative and managerial staff. Such protocols shall be made readily accessible to appropriate staff and volunteers.

10. Veterinary Protocols Article 26 C, §423.2 (g),9; 429

General Deficiency

*Written protocols not available and approved by a licensed veterinarian.**Article 26 C, §423.9,10*

9. Each animal shelter shall also develop and maintain the following written protocols, approved by a duly licensed veterinarian and sufficiently detailed to achieve and maintain the standards prescribed in this article: (a) nutrition and feeding; (b) physical examination; (c) emergency veterinary care; (d) pain management; (e) vaccinations; (f) parasite control; (g) anesthesia and surgery, if performed on-site by the organization; (h) humane euthanasia; and (i) outbreak management/control of infectious diseases.

10. Protocols established by each animal shelter pursuant to this section shall be reviewed annually and updated as necessary by designated administrative and managerial staff. Such protocols shall be made readily accessible to appropriate staff and volunteers.

11. Pest Control Plan Article 26 C, §424.12

General Deficiency

*No written pest control plan.**Article 26 C, §424.12*

Each animal shelter shall establish and maintain a written plan for pest and vermin control including the following: (a) Method of controlling rodent infestation that is effective and safe for both humans, and animals housed in the facility; (b) A record or copies of service reports from outside service providers related to pest and vermin control that documents dates, methods, locations, and outcomes, if applicable; and (c) Food stored in rodent proof containers to prevent spoilage, contamination, and infestation once opened, if packaging has been damaged, or as otherwise required by such plan.

12. Staff Authority/ Roles and Responsibilities Article 26 C, §427.1

General Deficiency

*Documentation for staff authority, reporting and/or responsibilities unavailable.**Article 26 C, §427.1*

Each animal shelter shall have a clear, written, management structure that defines staff authority, reporting structure and responsibilities, and is readily accessible to all staff and volunteers.

H. Veterinary Care and Records

1. Veterinary and Emergency Care Article 26 C, §429.1-6

General Deficiency

Administration of prescription medications and treatments not documented.

Article 26 C, §429.1,2, 4-6

1. Prescription medications and treatments shall be administered by each animal shelter under the advisement of or in accordance with written protocols provided by a duly licensed veterinarian. 2. All drugs shall be documented and dispensed by each animal shelter in accordance with applicable local, state, and federal laws and regulations. 4. Each animal shelter shall have the ability to provide for veterinary assessment and humane care in a timely fashion through in-house facilities or at an off-site location. 5. Pursuant to the written protocol for emergency veterinary care required by section four hundred twenty-three of this article, each animal shelter shall provide appropriate and timely veterinary care for any animal that is in distress, experiencing pain, or showing signs of significant illness or injury. 6. Each animal shelter shall recognize and treat acute and chronic pain appropriately, as set forth in article twenty-six of this chapter. The legal status regarding the ownership of any animal shall not prevent treatment to relieve suffering.

2. Examinations Article 26 C, §429.3, 7, 11, 13

General Deficiency

Animal intake exams not performed within 24 hours.

Animal intake exams and assessments unavailable.

Article 26 C, §429.3, 7, 11, 13

3. All incoming animals shall be assessed within two hours after intake by a trained animal shelter staff member to determine if any physical abnormalities exist that require immediate veterinary attention in accordance with the shelter's written protocol for emergency care, as required by section four hundred twenty-three of this article.

7. Each animal shelter shall provide a complete physical examination of all animals in its custody or possession by trained shelter staff within twenty-four hours of intake to identify medical or behavioral conditions that require further evaluation and care. Animals shall specifically be examined for bite wounds; animals that have potentially been exposed to rabies shall be managed in accordance with applicable local and state laws. Each animal shelter shall comply with the rabies reporting requirements prescribed in 10 NYCRR 2.14.

11. When a physical or behavioral abnormality is identified at the time of intake or at any time during any animal's shelter stay, staff members shall follow written medical protocols required by section four hundred twenty-three of this article, to determine if and when any animal in its custody or possession needs to be examined by a duly licensed veterinarian. If the animal is in foster care, the foster care provider shall follow written medical protocols to determine whether the animal needs to be examined by a duly licensed veterinarian.

13. Any apparently healthy animal remaining within the custody or possession of any animal shelter shall be examined by a duly licensed veterinarian at least every six months, or more frequently if problems are identified.

3. Drug Logs Article 26 C, §429.2

General Deficiency

Medication documentation unavailable.

Article 26 C, §429.2

All drugs shall be documented and dispensed by each animal shelter in accordance with applicable local, state, and federal laws and regulations.

4. Vaccinations Article 26 C, §429.8, 9

Critical Deficiency

Vaccination documentation unavailable.

Article 26 C, §429.8, 9

8. Each animal shelter shall vaccinate each animal in its custody or possession with core vaccines as recommended by national standards and guidelines specific to animal shelters as established, endorsed or approved by the American Association of Feline Practitioners (AAFP), American Animal Hospital Association (AAHA), or the Association of Shelter Veterinarians (ASV).

9. Each animal shelter shall provide all dogs, cats, and ferrets in its custody or possession with a rabies vaccination prior to release in accordance with local public health laws. Each animal shelter shall be in compliance at all times with section one hundred nine of this chapter and title four of article twenty-one of the public health law.

5. Deworming Article 26 C, §429.10

General Deficiency

Animals not dewormed.

Article 26 C, §429.10

At a minimum, each animal shelter shall treat all animals in its custody or possession for hookworms and roundworms prior to release and/or placement in foster care.

6. Weights Article 26 C, §429.12

General Deficiency

*Weights for animals in custody for more than 30 days not recorded.**Article 26 C, §429.12**Any animal in the custody or possession of any animal shelter for more than thirty days shall be examined and weighed at least monthly by a trained staff member. Animals in foster care shall be examined and weighed at least once every six months by a trained staff member.***7. Grooming Article 26 C, §429.14**

Satisfactory

8. Spay/Neuter Article 26 C, §429.15 (a), (b. i, ii, iii, iv, v, vi), (c)

Satisfactory

9. Dog Licensing Article 7, § 109

Not Applicable

I. Foster Care**1. Foster Care Providers Article 26 C, §423.6**

Not Applicable

2. Provider Requirements Article 26 C, §432.1

Not Applicable

3. Records Article 26 C, §432.2

Not Applicable

4. Infectious Disease Protocol Article 26 C, §432.3; 422.9

Not Applicable

Additional Information for Inspection:

REMARKS:

N/A = Requirements not applicable to organizational operations or conditions observed.**Also present at time of inspection were: Laura Moore-Board Secretary and Noresta Baum-Board Member.**

REPRESENTATIVE PRESENT FOR INSPECTION:

Gina Werczynski

REVIEWED BY:

Inspector #: 58TITLE: **Board Vice President**

REVIEWED DATE:

07/28/2026