

SHELTER INSPECTION REPORT - AI-SIRRating: **Non-Compliant Critical - 7**Purpose: **Inspection**DATE/TOA: **9/15/26 1:00 pm**

License No.:

Expiration Date:

**WALDO'S RESCUE PEN INC
24E PECK SLIP
#5E
NEW YORK NY 10038**Inspector #: **83**Inspector #: **61**

These are the findings of an inspection of your facility on the date(s) indicated above:

Agriculture & Markets Law - Article 26C - Regulation of Animal Shelters**A. General Facility Standards**

1. Facility Article 26 C, §424.1	Not Applicable
2. Surfaces Article 26 C, §424.2	Not Applicable
3. Power/Water Article 26 C, §424.3	Not Applicable
4. Sinks Article 26 C, §424.4	Not Applicable
5. Temperature Article 26 C, §424.5, 6	Not Applicable
6. HVAC Maintenance Article 26 C, §424.7	Not Applicable
7. Ammonia Article 26 C, §424.8	Not Applicable
8. Isolation Article 26 C, §424.9; 425.8	Not Applicable
9. Sound Article 26 C, §424.10	Not Applicable
10. Lighting Article 26 C, §424.11	Not Applicable
11. Drainage and Waste Article 26 C, §424.2; 425.3, 16	Not Applicable
12. Pest Control Article 26 C, §424.12	Not Applicable
13. Drop Box Article 26 C, §424.13	Not Applicable

B. Animal Housing

1. Primary Enclosure Article 26 C, §425.1, 3, 10, 12; 424.2	Not Applicable
2. Group Housing Article 26 C, §425.5, 6, 7, 8, 9, 11, 13, 14	Not Applicable
3. Whelping Box Article 26 C, §425.8 (b)	Not Applicable
4. Outdoor Housing Article 26 C, §425.2, 15, 16	Not Applicable
5. Identification Article 26 C, §431.2 (d), (v)	Not Applicable

C. Sanitation

1. Sanitation Article 26 C, §426.1, 2, 3, 4, 5, 6, 7, 8, 10, 11; 428.1 (e)	Not Applicable
2. Outdoor Enclosures Article 26 C, §426.9	Not Applicable

D. Shelter Management and Animal Husbandry

1. Number Housed Article 26 C, §425.12; 427.2,3	Not Applicable
2. Observation Article 26 C, §428.1; 427.4	Not Applicable
3. Food and Water Article 26 C, §428.1	Not Applicable
4. Restraint Article 26 C, §428.2 (a), (b), (c)	Not Applicable

E. Behavior

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| 1. Routine Care and Handling Article 26 C, §430.1, 2, 3 | Not Applicable |
| 2. Exercise and Enrichment Article 26 C, §430.4 (a), (b), (c), 5 | Not Applicable |
| 3. Behavior Evaluations Article 26 C, §430.6, 7 (a), (b) | General Deficiency |

Behavioral evaluations not available for inspection

1. Each animal shelter shall take measures, by way of written questionnaire, personal interview or other means deemed necessary by the commissioner, to collect a behavioral history for each animal in its custody or possession at the time of intake.

7. Animal shelters may perform a systematic behavioral evaluation on animals prior to rehoming or other placement. In the event such evaluations are performed, the following requirements shall apply: (a) staff performing such evaluations shall receive, in advance, adequate training in the performance and interpretation of the behavioral evaluation or evaluations; and (b) documentation of the results of every behavior evaluation performed shall be added to the animal's record created pursuant to section four hundred twenty-three of this article.

F. Transportation

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| 1. Vehicle Article 26 C, §431.1 a-f | Not Applicable |
| 2. Vaccinations Article 26 C, §431.2 (d), (i-vi1) | Critical Deficiency |

CVIs not available for inspection.

(d) (i) For dogs or cats imported into the state, the animal shelter of origin shall ensure that each dog or cat to be transported aged three months of age or older has been vaccinated against rabies not more than twelve months prior to the date of transport when using a one-year vaccine, or not more than thirty-six months prior to the date of transport when using a three-year vaccine, as evidenced by a valid certificate of immunization signed by a duly licensed veterinarian. Such vaccine shall be approved by the United States department of agriculture. The immunization requirement shall not apply if a veterinarian certifies in writing that because of old age or other reason, the life of the dog would be endangered by the administration of the rabies vaccine. (ii) At a minimum, the animal shelter of origin shall administer the following core vaccinations to each dog or cat to be transported that is four weeks of age or older: (1) For dogs, a modified live product for Distemper virus, Adenovirus, and Parvovirus as well as an intranasal or oral avirulent culture Bordetella bronchiseptica vaccination prior to departing from the animal shelter of origin. (2) For cats, a modified live product for Feline Viral Rhinotracheitis, Feline Calici Virus, and Feline Panleukopenia. (iii) The animal shelter of origin shall treat each animal for internal and external parasites as appropriate for the age, species, and medical condition. (iv) (1) The animal shelter of origin shall examine each dog and cat for medical or behavioral concerns at most twenty-four hours prior to initiation of transport. All medical and behavioral observations shall be recorded and communicated to any intermediate animal shelter, the destination animal shelter and any transporting agent not directly employed by such shelters.

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| 3. Health Certificates Article 26 C, §431.2 (d), (iv) 3 | Critical Deficiency |
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CVIs not available for inspection.

(2) A health record shall accompany each animal to be transported. (3) A health certificate is required for each animal to be transported into the state in accordance with part sixty-five of the commissioner's rules and regulations. Such certificate shall accompany each animal during transport and be completed and signed by a duly licensed veterinarian.

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| 4. Visual Identification Article 26 C, §431.2 (d), (v) | Not Applicable |
| 5. Destination Shelter Contact Article 26 C, §431.2 | General Deficiency |

Transport manifest not available for inspection.

(b) A contact person shall be designated for each animal shelter and any intermediate transfer points. (c) Each transporting organization identified in any agreement established pursuant to subparagraph (i) of paragraph (d) of this subdivision shall adhere to all public health laws and local laws for source location, intermediate transfer points, and final destination.

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| 6. Animal <1 year not from USDA Breeder Article 26 C, §431.5 | Satisfactory |
| 7. Mandatory Holding Period Article 26, §384.1; 384.2 | Satisfactory |

G. Record Keeping and Protocols

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| 1. License Status Article 26 C, §421.1 | Satisfactory |
| 2. License Displayed Article 26 C, §421.4 | Satisfactory |
| 3. Required Training Article 26 C, §422 | General Deficiency |

Training log not available for inspection.

1. Each animal shelter licensed pursuant to this article shall provide training to all staff members and volunteers having direct animal care responsibilities. Such training shall be provided within the first sixty days of employment and at least annually thereafter and shall be in addition to any and all training otherwise required by federal, state, or local law or regulation. 2. Acceptable training modalities shall include, but not be limited to, online webinars, on-site lectures or seminars, off-site conferences for animal shelter staff, or other formal training modalities as authorized by the commissioner. 3. Training topics shall include, but not be limited to, the following: (a) humane handling techniques; (b) infectious diseases commonly found in animal shelters; (c) zoonotic diseases; (d) animal cruelty; (e) sanitation procedures; (f) body language and normal behaviors for all species regularly handled; and (g) required documentation and data entry.

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| 4. Unique Animal Identifiers Recorded Article 26 C, §423.1,2 | Satisfactory |
| 5. Record Requirement Article 26 C, §423 | General Deficiency |

Medical records not available for inspection.

Each animal shelter shall create and maintain a record for each animal in their custody or possession which shall minimally include: (a) Unique identifiers and any other identification associated with the animal upon examination at entry, including but not limited to a tattoo, a permanent official identification number as prescribed in section one hundred twelve of this chapter or other identification tags, rabies tags and numbers, or a microchip

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| 6. Record Retention Article 26 C, §423.3 | Satisfactory |
| 7. Bite History Notification Article 26 C, §423.4 | Satisfactory |
| 8. Animal Intake Article 26 C, §423.1,2 | Critical Deficiency |

Medical records not available for inspection.

2. Each animal shelter shall create and maintain a record for each animal in their custody or possession which shall minimally include: (a) Unique identifiers and any other identification associated with the animal upon examination at entry, including but not limited to a tattoo, a permanent official identification number as prescribed in section one hundred twelve of this chapter or other identification tags, rabies tags and numbers, or a microchip number, if present; (b) The name, address and telephone number of the person surrendering an animal or from whom an animal is seized, and additional contact information as the commissioner may require, or the address or cross-streets and city, town, or village where the animal was located or found prior to intake, if known; (c) The date of intake into and departure from the animal shelter; (d) Whether the animal was adopted, transferred, redeemed by its owner, died or was humanely euthanized, and, if applicable, the name, address, and phone number of the receiving individual or agency; (e) Basic descriptors including species, age, gender, physical description including color, and the spay or neuter status at entry if determinable with reasonable certainty; (f) Any available behavioral or health history or information otherwise obtained at intake, including bite history and rabies vaccination status, when known; and (g) All veterinary and behavioral examinations, treatments, procedures, or medications occurring during the animal's time under the care of the shelter.

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| 9. In House Protocols Article 26 C, §423.8 | General Deficiency |
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In-house protocols not available for inspection.

8. Each animal shelter shall develop and maintain written protocols sufficiently detailed to achieve and maintain the standards prescribed in this section. These shall include, but not be limited to, the following: (a) animal handling; (b) behavioral assessment; (c) enrichment and stress reduction; (d) management of bite/scratch cases; and (e) sanitation.

10. Protocols established by each animal shelter pursuant to this section shall be reviewed annually and updated as necessary by designated administrative and managerial staff. Such protocols shall be made readily accessible to appropriate staff and volunteers.

10. Veterinary Protocols Article 26 C, §423.2 (g),9; 429

Critical Deficiency

Veterinary protocols not available for inspection.

9. Each animal shelter shall also develop and maintain the following written protocols, approved by a duly licensed veterinarian and sufficiently detailed to achieve and maintain the standards prescribed in this article: (a) nutrition and feeding; (b) physical examination; (c) emergency veterinary care; (d) pain management; (e) vaccinations; (f) parasite control; (g) anesthesia and surgery, if performed on-site by the organization; (h) humane euthanasia; and (i) outbreak management/control of infectious diseases.

10. Protocols established by each animal shelter pursuant to this section shall be reviewed annually and updated as necessary by designated administrative and managerial staff . Such protocols shall be made readily accessible

to appropriate staff and volunteers.

11. Pest Control Plan Article 26 C, §424.12

General Deficiency

Pest control plan not available for inspection.

12. Each animal shelter shall establish and maintain a written plan for pest and vermin control including the following: (a) Method of controlling rodent infestation that is effective and safe for both humans, and animals housed in the facility; (b) A record or copies of service reports from outside service providers related to pest and vermin control that documents dates, methods, locations, and outcomes, if applicable; and (c) Food stored in rodent proof containers to prevent spoilage, contamination, and infestation once opened, if packaging has been damaged, or as otherwise required by such plan.

12. Staff Authority/ Roles and Responsibilities Article 26 C, §427.1

General Deficiency

Organizational chart not available for inspection.

1. Each animal shelter shall have a clear, written, management structure that defines staff authority, reporting structure and responsibilities, and is readily accessible to all staff and volunteers.

H. Veterinary Care and Records**1. Veterinary and Emergency Care Article 26 C, §429.1-6**

General Deficiency

Medical records not available for inspection.

1. Prescription medications and treatments shall be administered by each animal shelter under the advisement of or in accordance with written protocols provided by a duly licensed veterinarian. 2. All drugs shall be documented and dispensed by each animal shelter in accordance with applicable local, state, and federal laws and regulations. 4. Each animal shelter shall have the ability to provide for veterinary assessment and humane care in a timely fashion through in-house facilities or at an off-site location. 5. Pursuant to the written protocol for emergency veterinary care required by section four hundred twenty-three of this article, each animal shelter shall provide appropriate and timely veterinary care for any animal that is in distress, experiencing pain, or showing signs of significant illness or injury. 6. Each animal shelter shall recognize and treat acute and chronic pain appropriately, as set forth in article twenty-six of this chapter. The legal status regarding the ownership of any animal shall not prevent treatment to relieve suffering.

2. Examinations Article 26 C, §429.3, 7, 11, 13

Critical Deficiency

Medical records not available for inspection.

3. All incoming animals shall be assessed within two hours after intake by a trained animal shelter staff member to determine if any physical abnormalities exist that require immediate veterinary attention in accordance with the shelter's written protocol for emergency care, as required by section four hundred twenty-three of this article. 7. Each animal shelter shall provide a complete physical examination of all animals in its custody or possession by trained shelter staff within twenty-four hours of intake to identify medical or behavioral conditions that require further evaluation and care. Animals shall specifically be examined for bite wounds; animals that have potentially been exposed to rabies shall be managed in accordance with applicable local and state laws. Each animal shelter shall comply with the rabies reporting requirements prescribed in 10 NYCRR 2.14. 11. When a physical or behavioral abnormality is identified at the time of intake or at any time during any animal's shelter stay, staff members shall follow written medical protocols required by section four hundred twenty-three of this article, to determine if and when any animal in its custody or possession needs to be examined by a duly licensed veterinarian. If the animal is in foster care, the foster care provider shall follow written medical protocols to determine whether the animal needs to be examined by a duly licensed veterinarian. 13. Any apparently healthy animal remaining within the custody or possession of any animal shelter shall be examined by a duly licensed veterinarian at least every six months, or more frequently if problems are identified.

3. Drug Logs Article 26 C, §429.2

General Deficiency

Medical records not available for inspection.

2. All drugs shall be documented and dispensed by each animal shelter in accordance with applicable local, state, and federal laws and regulations.

4. Vaccinations Article 26 C, §429.8, 9

Critical Deficiency

Medical records and CVIs not available for inspection.

8. Each animal shelter shall vaccinate each animal in its custody or possession with core vaccines as recommended by national standards and guidelines specific to animal shelters as established, endorsed or approved by the American Association of Feline Practitioners (AAFP), American Animal Hospital Association (AAHA), or the Association of Shelter Veterinarians (ASV). 9. Each animal shelter shall provide all dogs, cats, and ferrets in its custody or possession with a rabies vaccination prior to release in accordance with local public health laws. Each animal shelter shall be in compliance at all times with section one hundred nine of this chapter and title four of article twenty-one of the public health law.

5. Deworming Article 26 C, §429.10

Critical Deficiency

Medical records and CVIs not available for inspection.

10. At a minimum, each animal shelter shall treat all animals in its custody or possession for hookworms and roundworms prior to release and/or placement in foster care.

6. Weights Article 26 C, §429.12

Critical Deficiency

Medical records and CVIs not available for inspection.

12. Any animal in the custody or possession of any animal shelter for more than thirty days shall be examined and weighed at least monthly by a trained staff member. Animals in foster care shall be examined and weighed at least once every six months by a trained staff member.

7. Grooming Article 26 C, §429.14

Satisfactory

8. Spay/Neuter Article 26 C, §429.15 (a), (b. i, ii, iii, iv, v, vi), (c)

Critical Deficiency

Medical records and CVIs not available for inspection.

15. (a) No animal shelter shall release any dog or cat for adoption to any person unless the dog or cat has been spayed or neutered, in accordance with section three hundred seventy-seven-a of this chapter. (b) Prior to performing spay/neuter surgery at any animal shelter: (i) All animals in such shelter's custody or possession shall receive an examination by a duly licensed veterinarian within twenty-four hours of surgery and anesthesia. Such examination may be performed under sedation or anesthesia if, due to the animal's behavior, an examination is not possible while awake. (ii) Balanced anesthetic protocols that include sedation, the provision of pre- and post-operative analgesia, stress reduction, muscle relaxation and controlled, reversible loss of consciousness shall be utilized for all animals. (iii) While surgery is being performed, the operating area shall be dedicated to surgery and contain the necessary equipment for anesthesia and patient monitoring. (iv) Aseptic surgical technique shall be required, and separate sterile instruments shall be used for each patient. All instruments and equipment shall be maintained in proper working condition. (v) A permanent tattoo shall be placed on the ventral abdomen of shelter-owned cats and dogs at the time of spay or neuter. (vi) Each animal shelter shall provide adoptive owners instructions in both written and verbal forms for ten-day post-operative care of recently spayed or neutered animals. (c) Written protocols required by section four hundred twenty-three of this chapter, shall be followed to handle related post-operative emergencies.

9. Dog Licensing Article 7, § 109

General Deficiency

Medical records and CVIs not available for inspection.

§ 109. Licensing of dogs required; rabies vaccination required. 1. (a)
The owner of any dog reaching the age of four months shall immediately make application for a dog license.

I. Foster Care**1. Foster Care Providers Article 26 C, §423.6**

General Deficiency

Foster agreements and list not available for inspection.

6. Each animal shelter shall maintain a record of its designated foster care providers that shall include the written agreement established between such animal shelter and such providers pursuant to section four hundred thirty-two of this article, each provider's name, address, telephone number, email address if available, types of animals for which the provider is willing to provide care, inspection reports, and current number of animals in the care of a designated foster care provider. Such records shall be updated immediately in the event any contact information for a given foster care provider changes.

2. Provider Requirements Article 26 C, §432.1

General Deficiency

Foster agreements and list not available for inspection.

1. No person may operate as a foster care provider in this state without first having signed a written agreement with an animal shelter licensed pursuant to this article stating that such person may operate as a foster care provider with the approval and under the responsibility of such animal shelter. Such agreement shall be renewed not less than once every two years, and a copy of such agreement shall be kept by both the animal shelter and foster care provider and made available for inspection by the commissioner upon request. It shall be the responsibility of the animal shelter entering into such agreement with any foster care provider pursuant to this article to ensure that such foster care provider is able to responsibly harbor and provide necessary sustenance for any animal placed in their care by such animal shelter in a manner that promotes the good health, safety and welfare of such animals. No animal shelter shall knowingly enter into any agreement pursuant to this subdivision with any person who has been previously convicted of a violation of any provision of article twenty-six of this chapter or regulations promulgated thereunder pertaining to humane treatment of animals, cruelty to animals, endangering the life or health of an animal, or violation of any federal, state, or local law pertaining to the care, treatment, sale, possession, or handling of animals or any regulation or rule relating to the endangerment of the life or health of an animal.

3. Records Article 26 C, §432.2

General Deficiency

Foster agreements and list not available for inspection. Medical records and CVIs not available for inspection.

2. Foster care providers may harbor animals owned by a licensed animal shelter but shall not own such animals and shall keep a copy of the current record of each animal harbored under any agreement established pursuant to subdivision one of this section. Such record shall be maintained at the physical premises where such foster care providers harbor such animals. Animal shelters may, if appropriate to protect the identity of the source of any animal, provide their foster care providers with a partial animal record that excludes the name and address of the organization or individual from whom such animal was obtained and the date of receipt, so long as such animal shelters keep a copy of the complete record at the location where it maintains such records pursuant to section four hundred twenty-three of this article. It is the responsibility of the animal shelter with which such foster care provider has established an agreement pursuant to subdivision one of this section to ensure compliance with this subdivision.

4. Infectious Disease Protocol Article 26 C, §432.3; 422.9

General Deficiency

Infectious Disease protocol not available for inspection.

3. Any animal harbored by a foster care provider pursuant to this section that is affected by an infectious or contagious disease shall be handled in a manner that prevents the spread of such disease in accordance with subdivision nine of section four hundred twenty-four of this article. It shall be the responsibility of the animal shelter with which such foster care provider has established an agreement pursuant to subdivision one of this section to ensure compliance with this subdivision.

Additional Information for Inspection:

REMARKS:

N/A= Requirement not applicable to organizational operations or conditions observed

REPRESENTATIVE PRESENT FOR INSPECTION: **Emily D**
TITLE: **Founder**

REVIEWED BY: **Inspector #: 852**
REVIEWED DATE: **09/22/2026**