



New York State
Department of Agriculture & Markets
REQUEST FOR PROPOSALS

for the

**2014 - NEW YORK STATE
FRESH CONNECT PROGRAM**

Farmers' Market Projects

INTRODUCTION

The New York State Department of Agriculture and Markets, in cooperation with Empire State Development, invites proposals that will improve access to locally grown, fresh food by low-income and/or underserved communities across New York State.

PROPOSAL SUBMISSION REQUIREMENTS

Proposals must conform to the format and content specified in this RFP. Applicants must submit an original and four (4) copies of each proposal plus a completed and signed Checklist for Proposal Eligibility and Completeness.

Envelopes must be clearly marked: "RFP - Fresh Connect Program - Farmers' Market Projects."

Proposals must be submitted to:

Lucy Roberson
NYS Department of Agriculture and Markets
10B Airline Drive
Albany, New York 12235

Proposals must be received by the Department by 4:30 p.m. EDT on Wednesday, April 2, 2014.

Applicants, not delivery services or other intermediaries, are responsible for the timely submission of proposals. Faxed and e-mailed proposals will not be accepted. Proposals delivered after the scheduled date and time will be returned to applicants and not considered for funding.

QUESTIONS CONCERNING THE RFP

All questions about this RFP must be submitted in writing (fax or e-mail will be accepted) to:

Meg McCabe
NYS Department of Agriculture and Markets
10B Airline Drive
Albany, NY 12235
Fax: (518) 457-2716
E-mail: meg.mccabe@agriculture.ny.gov

All questions must be submitted to Ms. McCabe by 4:30 p.m. on Monday, March 17, 2014. Applicants must note that all clarifications are to be resolved prior to the submission of a proposal. A list of questions about the program which are received from potential applicants, and answers to those questions, as well as any changes, additions or deletions to the RFP, will be posted in the "Funding Opportunities" section of the Department's website, www.agriculture.ny.gov, along with the electronic version of this RFP. Questions and responses may be posted as questions are received. All questions and answers will be posted by Wednesday, March 19, 2014. Applicants are urged to check the Department's website frequently for notices of any changes, additions or deletions to the RFP. If you are unable to access the website, please contact Ms. McCabe to arrange for alternate delivery. All questions and answers shall become a formal addendum to the RFP.

1. GENERAL PROGRAM INFORMATION

1.1 Program Description

As highlighted in Governor Andrew M. Cuomo's 2012 State of the State address, the New York Fresh Connect Program enhances nutrition and economic health in New York State by increasing access to locally grown, fresh foods by low-income and/or underserved communities across New York State. To achieve this goal in 2014, the Fresh Connect Program will partner and contract with local entities to develop and administer projects that will increase access to locally grown, fresh foods by low-income and/or underserved communities in New York State through direct marketing activities.

Article 23 of the Agriculture and Markets Law authorizes the Department to provide assistance to local government entities and not for profit organizations for the purpose of direct marketing activities in areas having poor consumer access to high quality and reasonably priced food and farm products.

Objectives of the New York State Fresh Connect Program are:

1. To serve low-income and/or underserved communities in New York State;

2. To provide additional opportunities for New York farmers to sell locally grown products;
3. To establish a framework that will make funded projects viable and replicable;
4. To complement existing nutrition program objectives with respect to serving nutritionally at-risk consumers and benefitting New York farmers; and
5. To achieve geographic diversity.

Eligible projects must increase access to local farm and food products by low-income and/or underserved communities in New York State through direct marketing activities connected to a farmers' market.

1.2 Funding Available

There is a total of \$130,000 available statewide for the Fresh Connect Program - Farmers' Market Projects. Grants shall not exceed the lesser of seventy-five percent (75%) of the total project cost or ten thousand dollars (\$10,000). Applicants may apply for and receive funding for more than one project, but not more than two projects, however a separate application must be submitted for each project for which funding is being requested.

1.3 Match Requirements

Grant recipients must provide a match of at least twenty-five percent (25%) of the total project cost with cash and/or in-kind services. For a grant of \$10,000 the total project cost would be \$13,333 of which 25 percent or \$3,333 must be match provided by the project applicant. The match may be provided by the applicant or other supporters of the project. Match Commitment Letters detailing the source and dollar value of matching funds must be submitted with all applications for funding. The cost of previous work leading to the proposed project, and costs unrelated to the proposed project, may not be considered as an applicant match. New York State funds may not be used as matching funds.

2. ELIGIBILITY & SCOPE

2.1 Applicant Eligibility

Eligible applicants include:

- local government entities;
- regional market authorities;
- public benefit corporations; and
- not-for-profit corporations*.

*Unincorporated community groups, volunteer associations seeking to participate in this program must be sponsored by an eligible applicant to act as a fiscal agent for program funding. The fiscal agent must apply for, contract for, and administer the grant.

2.2 Ineligible Applicants

The following entities are not eligible to apply for funding:

- for-profit entities; and
- individuals.

2.3 Definitions

For the purposes of this grant program:

- “Farm and food products” shall mean fruits, vegetables, eggs, dairy products, meat and meat products, poultry and poultry products, fish and fish products, grain and grain products, honey, nuts, preserves, maple sap products, apple cider, fruit juice, and seeds and plants which produce food for household consumption.
- “Direct marketing” means the sale of farm and food products directly from producers to consumers and food buyers.
- A “farmers’ market” is any building, structure, or place, the property of a municipal corporation or under lease to or in the possession of a private or public agency, individual or business used or intended to be used by two or more producers for the direct sale of a diversity of farm and food products from producers to consumers and food buyers.

2.4 Project Eligibility

Eligible projects are:

- creating new and/or strengthening existing farmers’ market locations serving low-income and/or underserved communities; and/or
- creating new or strengthening existing nutrition incentive program to attract new customers from low-income and/or underserved communities at new or existing farmers’ market locations; and/or
- creating new or strengthening existing partnerships or programs to better connect low-income and/or underserved communities with local farm and food products at new or existing farmers’ market locations.

The Adam Clayton Powell State Office Building on 125th Street in Harlem, New York is not an eligible site for this Request for Proposals.

2.5 Project Duration

Proposed projects must be completed within one year of the contract start date.

2.6 Eligible Costs

Grant funds may be used for any of the following purposes directly related to completion of an eligible project, including but not limited to:

- salaries and wages
- contractor services
- permanent physical/structural improvements
- supplies and equipment
- promotional materials, signage, and advertising, including, but not limited to, radio, print, television, internet, billboards
- nutrition education and outreach
- insurance and permits (excluding permit to operate a farmers' market at proposed location)
- transportation

Eligible costs must be directly related to the eligible project.

2.7 Ineligible Costs

Grant funds may not be used for the following, including but not limited to:

- purchase of food items to sell at farmers' markets
- purchase of a vehicle
- permit to operate a farmers' market at the proposed location
- cell phones
- computer hardware and office equipment
- salaries and wages unrelated to the proposal
- indirect and overhead costs
- fringe benefits
- costs associated with preparing an application
- costs incurred prior to the award of a grant

3. DOCUMENT AND ADMINISTRATIVE REQUIREMENTS

The following documentation must be provided with the Application Form at the time of submittal.

3.1 Farmers' Market Ownership & Control

Applicant must provide evidence of authority to execute the proposed project. If the applicant is proposing to operate a farmers' market, applicant must provide proof of authority to operate a farmers' market at the proposed location, including copies of all necessary permits, proof of permit application(s), or a signed letter of consent addressed to the applicant and signed by the property owner.

If applicant is not proposing to operate a market, but to operate an eligible project in connection with a farmers' market, applicant shall submit evidence of the associated market's willingness to cooperate and participate in the proposed project through a formal written and executed (signed) agreement between the applicant and the farmers' market operator.

If the project involves permanent improvements to property, including new and/or existing facilities, not owned by the applicant, an executed (signed) written agreement between the landowner and the applicant to retain the farmers' market and permanent improvements on said property through the year 2016 must be provided with the application.

3.2 Application Requirements

3.2.1 Registration and Pre-Qualification

New York State vendors must register in the Gateway and establish users in the system. To start this process, from the Grant Opportunity Portal (https://grantsgateway.ny.gov/IntelliGrants_NYSGG/module/nysgg/goportal.aspx), under Registration, click "Request Access Now!" to view your options.

For existing NYS vendors, there is only one step. You must submit a Registration Form for Administrators identifying a Delegated Administrator responsible for managing your organization's profile and users.

To find out if your organization has already registered, enter its SFS Vendor ID number and search. If your organization is registered, the search result will include contact information for its delegated administrator, and you can contact this individual to request access to the system. If your organization is not registered, the search result will provide a link to the Request Form for Administrator, which you will need to complete and submit pursuant to the instructions provided.

If your organization is not currently doing business with NYS, you will need to submit a Substitute W-9 Form to obtain a NYS SFS Vendor ID, in addition to the Registration Form for Administrators, in order to register.

All not-for-profit organizations that intend to submit a proposal in response to this RFP must also be pre-qualified prior to the submission of your proposal(s). If you have not pre-qualified with the Division of Budget by the time that you submit your proposal(s), each proposal you submit will be rejected and not considered for funding under this RFP. For more information regarding the pre-qualification process, please view [FAQs About Prequalification](#) as provided by the New York State Grants Reform Team.

If you have not yet registered (or, as a not-for-profit, prequalified), please do so immediately.

3.2.2 Acceptance of Government Benefits

At the time of the application, applicants or affiliated markets must be a certified Supplemental Nutrition Assistance Program (SNAP) vendor, agree to accept SNAP benefits as part of the proposed project, and provide proof of certification. If the applicant or market is not a certified vendor at the time of application, proof of intent to participate, such as a completed application form, must be provided instead.

3.2.3 Contract Requirements

Prior to a contract being executed, applicants or affiliated markets must be a certified Supplemental Nutrition Assistance Program (SNAP) vendor, agree to accept SNAP benefits as part of the proposed project, and provide proof of certification.

Applicants are also encouraged to become authorized for and accept other nutrition program benefits applicable to the proposed project, including Farmers' Market Nutrition Program benefits, Senior Farmers' Market Nutrition Program benefits, and WIC Vegetable & Fruit checks.

3.3 Workers' Compensation & Disability Insurance

New York State Workers' Compensation Law sections 57 and 220 require that the Department not enter into a contract unless proof of Workers' Compensation and Disability Insurance in a form satisfactory to the New York State Workers' Compensation Board has been secured.

Please refer to the Workers' Compensation and Disability Insurance Requirements posted on the Department's website, www.agriculture.ny.gov, under the heading of this RFP or visit the New York State Workers' Compensation Board website, www.wcb.ny.gov, for more information. You may contact the Board's Bureau of Compliance with any questions related to workers' compensation or disability insurance at (866) 298-7830.

3.4 New York State Charities Bureau Registration

All not-for-profits organizations contracting with the State or serving as a fiscal agent must be registered with the New York State Charities Bureau, unless a proper exemption is obtained. All applicants must, therefore provide either:

- The organization's charitable registration number and written documentation from the Office of the Attorney General that the charitable organization is currently up-to-date with its Charities Registration; or
- A statement from the applicant that the organization is exempt pursuant to one of the categories indicated on the Office of Attorney General's Request for Registration Exemption (Schedule E). The statement must identify the specific category under which the charitable organization is exempt.

To obtain written documentation of an organization's charities registration status, or if you have questions regarding the statutory requirements for registration, contact the New York State Office of the Attorney General, Bureau of Charities Registration, 120 Broadway, New York, NY 10271-0332, or call (212) 416-8402, email charities.bureau@oag.state.ny.us or visit their website at <http://www.oag.state.ny.us/bureaus/charities/charities.html>.

Eligible 501(c)(3) not-for-profit corporations acting as the applicant must have a New York State Attorney General Charities Bureau Registration number or an Exemption Certificate issued by the New York State Attorney General Charities Bureau explaining why the organization is exempt from registering with the Charities Bureau.

4. PROPOSAL FORMAT

4.1 Application Form

Submit proposals using the 2014 Fresh Connect Program Application Form, following these general instructions.

1. Provide all information requested in the application form.
2. Type or print legibly.
3. Submit an original and four (4) copies of the proposal.
4. Staple each copy of the proposal in the upper left hand corner of the Application Form. Do not use binders, folders, report covers, etc.
5. Attach all required attachments, drawings, photos, support letters, etc. at the end of the Application Form.

The Department and State Comptroller's Office reserve the right to audit the applicant's books and records relating to the performance of the project during and up to six years after the completion of the project.

4.2 Checklist for Proposal Eligibility & Completeness

Complete and sign the checklist at the end of the Application Form and submit it with your application to ensure that your proposal is eligible to be considered for funding.

5. FUNDING CRITERIA

5.1 Evaluation Factors

All eligible proposals will be competitively rated by a panel designated by the Department. A total of one hundred (100) points can be achieved from the following criteria. The evaluation panel shall consider the following factors in rating proposals:

- Reasonableness of cost relative to nature of work to be performed (Maximum of 20 points)
- Demonstrated, quantifiable benefits and impact of the project including, but not limited to: (1) number of New York farmers participating, per market location, (2) projected SNAP sales goal (\$ SNAP dollars), per market day, broken out by market location (3) projected reach, number of people from low-income and/or underserved communities, per market day, broken out by location, and (4) increase in access to local farm and food products (e.g. 2 market locations, 4 hours each market day, for 10 weeks is $2 \times 4 \times 10 = 80$ hours access) (Maximum of 20 points)
- Demonstrated experience and qualifications of key persons involved, including but not limited to a demonstrated track record in operating SNAP EBT program at another market or venue operated by the market sponsor, SNAP sales history (Maximum of 20 points)
- Defined plan of work for the operation of the project for the term of the grant; plan of work addressing the feasibility and sustainability of the proposed project to become self-sustaining in a 3 year timeframe (Maximum of 20 points)

- Committed level of support for project from community partners and farmers (Maximum of 20 points)

5.2 Bonus Points

Eligible applicants may receive five (5) bonus points if the applicant will administer a food donation program for excess New York farm or food products in connection with the proposed project. A letter of participation from a food donation center is required.

5.3 Geographic Distribution

After completing the above evaluation utilizing the Application Form and criteria set forth in it, an award up to a total of five (5) additional points per application may be given to help achieve geographic diversity for the Fresh Connect program. The following ten (10) regions will be used for this purpose:

Western New York: Allegany, Cattaraugus, Chautauqua, Erie, Niagara

Finger Lakes: Genesee, Livingston, Monroe, Ontario, Orleans, Seneca, Wayne, Wyoming, Yates

Southern Tier: Broome, Chemung, Chenango, Delaware, Schuyler, Steuben, Tioga, Tompkins

Central New York: Cayuga, Cortland, Madison, Onondaga, Oswego

Mohawk Valley: Fulton, Herkimer, Montgomery, Oneida, Otsego, Schoharie

North Country: Clinton, Essex, Franklin, Hamilton, Jefferson, Lewis, St. Lawrence

Capital Region: Albany, Columbia, Greene, Saratoga, Schenectady, Rensselaer, Warren, Washington

Mid-Hudson: Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster, Westchester

New York City: Bronx, Kings, New York, Richmond, Queens

Long Island: Nassau, Suffolk

6. AWARD PROCESS

The Department will first screen applications to ensure that they meet eligibility requirements. All proposals deemed eligible by the Department will be evaluated by a Department panel according to the funding criteria; scores will be averaged and ranked in order from highest to lowest. Those proposals receiving the highest numerical scores above the threshold score (65), continuing until available funds are exhausted, or until all proposals are funded, whichever occurs first, will be funded.

The Department will provide up to 75% of the total cost of a project, not to exceed \$10,000. Applicants must provide at least 25% of the cost of the project. Therefore, for a grant request of \$10,000 a minimum match of \$3,333 (25 percent of a total project cost of \$13,333) is required. In-kind contributions and/or cash by or on behalf of the application may satisfy the match requirement. An applicant may submit and be funded for more than one project, but not more than two projects; however no single entity will be awarded more than \$20,000 in aggregate. The Department will send written notice to each applicant of its funding decisions. A contract defining all terms and conditions and responsibilities of the successful applicant shall be developed by the Department subsequent to the awarding of funds. The contract will incorporate project details and a budget approved by the Department, among its provisions.

Upon agreement by the awardee and the Department to the provisions of the contract, it will be submitted for approval to the Attorney General of the State of New York and the Comptroller of the State of New York.

7. REIMBURSEMENT FOR FUNDING

Once the contract is fully executed, grant funds will be disbursed on a reimbursement basis.

Awardees may request, at the discretion of the Department, an initial payment of up to twenty-five percent (25%) of the total grant award.

The Department shall retain ten percent (10%) of the budget amount to be disbursed to the contractor until such time as the final report is accepted.

A New York State Claim for Payment Form must be submitted to the Department in order for the contractor to be reimbursed for funds expended.

Payment to the contractor shall only be rendered electronically unless payment by paper check is expressly authorized by the Commissioner, at the Commissioner's sole discretion, due to extenuating circumstances. Such electronic payment shall be made in accordance with ordinary state procedures and practices. The Contractor shall comply with the State Comptroller of New York's procedures to authorize electronic payments. Contractor acknowledges that it will not receive payment on any Claim for Payment Form submitted under this agreement if it does not comply with the State Comptroller of New York's electronic payment procedures, except where the Commissioner has expressly authorized payment by paper check as set forth above.

8. REPORTING REQUIREMENTS

8.1 Monthly Reports

Awarded applicants will be required to submit brief monthly reports to the Department to provide timely information on the success of the project. A monthly report template will be provided by the Department and this template must be used to generate monthly reports. Reports must include a short summary of the project activities for that month, and other required facts and statistics relevant to the project, specified in the monthly report template. Claim for Payment Forms submitted will be withheld for projects that are not up to date with the filing of their monthly reports.

8.2 Reimbursement Request Reports

The Department will monitor contract performance. An interim progress report that summarizes work completed on the project shall accompany each Claim for Payment Form request for reimbursement of project expenses. The Department reserves the right to modify reporting requirements during the course of the project.

8.3 Final Report

A final report will be required within thirty (30) days following completion of the project. The final report shall include a reasonably detailed description of the work completed; an assessment of the potential for future viability of the project; and a description of problems encountered, if any, which affected completion of the project. In addition to the final report, the Department reserves the right to conduct a follow-up survey of funded projects in order to determine long-term impacts.

9. LIABILITY

The Department shall not be held liable for any costs incurred by any party for work performed in the preparation of and production of a proposal or for any work performed prior to the formal execution of a contract.

10. NYS OFFICE OF PARKS, RECREATION & HISTORIC PRESERVATION REQUIREMENTS

Projects which include ground disturbing activities, construction of new buildings, or modification of buildings over 50 years old will be subject to further review by the NYS Office of Parks, Recreation and Historic Preservation (OPRHP). The Department reserves the right to request such additional information as is necessary to allow OPRHP to make a determination regarding the potential impacts of the project on historical sites or artifacts and possible alternatives which avoid or mitigate adverse impacts.

11. NYS ENVIRONMENTAL QUALITY REVIEW ACT REQUIREMENTS

Some projects may be subject to review under the New York State Environmental Quality Review Act (SEQRA). The Department reserves the right to request additional information as needed to comply with SEQRA requirements.

12. OTHER CONSIDERATIONS

The Department reserves the right to:

- reject any or all proposals received with respect to this RFP;
- waive or modify minor irregularities in proposals received after prior notification and concurrence of the applicant;
- utilize any or all ideas submitted in the proposals received unless those ideas are covered by legal patent or proprietary rights;
- request from an applicant additional information as deemed necessary to more fully evaluate its proposal;
- amend the program's specifications after their release, with appropriate written notice posted on the Department's website;
- select only certain portions of proposals for state funding;
- make all final decisions with respect to the amount of State funding and the timing of

- payments to be provided to an applicant; and
- negotiate the terms of the budget.

All proposals submitted in response to this RFP will become the property of the New York State Department of Agriculture and Markets.

13. FREEDOM OF INFORMATION

All proposals submitted and all related contracts and reports may be subject to disclosure under the Freedom of Information Law.

14. DEBRIEFING

Pursuant to section 163(9)(c) of the State Finance Law, an unsuccessful bidder has the right to a debriefing regarding the reasons its application was not selected for award. Upon request, the Department will provide a debriefing to any unsuccessful applicant as to the reasons that the proposal submitted was not selected for an award. To request a review of an unsuccessful application, contact Ms. Judy Giovannetti, Division of Fiscal Management, by phone at: (518) 457-6172 or via e-mail at judy.giovannetti@agriculture.ny.gov . A review should be requested by an unsuccessful applicant within thirty (30) days of the date of the notice that its proposal was not selected for an award.